

**Pursuant to the Foreign Agents Registration Act of  
1938, as amended**

For Six Month Period Ending 9/30/16

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant (b) Registration No.

The Livingston Group, LLC

#6344

- (c) Business Address(es) of Registrant

499 S. Capitol Street, SW

Suite 600

Washington, DC 20003

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

|                           |                              |                             |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship           | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation            | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

- (b) If an organization:

|                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

| Name | Position | Date Connection Ended |
|------|----------|-----------------------|
|------|----------|-----------------------|

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

| Name | Residence Address | Citizenship | Position | Date Assumed |
|------|-------------------|-------------|----------|--------------|
|------|-------------------|-------------|----------|--------------|

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

n.a.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

| Name | Residence Address | Citizenship | Position | Date Assumed |
|------|-------------------|-------------|----------|--------------|
|------|-------------------|-------------|----------|--------------|

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

| Name | Position or Connection | Date Terminated |
|------|------------------------|-----------------|
|------|------------------------|-----------------|

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

| Name | Position or Connection | Foreign Principal | Date Terminated |
|------|------------------------|-------------------|-----------------|
|------|------------------------|-------------------|-----------------|

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

n.a.

## II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Centrale Bank van Curacao en Sint Maarten

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes ☐ No ☐

Exhibit B<sup>4</sup> Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

## III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

See attachment

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

All of the actions listed in the attachment for Item 11 can be defined broadly as constituting political activity.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

14. (a) **RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

| Date<br>See attachment | From Whom | Purpose | Amount |
|------------------------|-----------|---------|--------|
|------------------------|-----------|---------|--------|

Total

(b) **RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

(c) **RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

| Foreign Principal | Date Received | Thing of Value | Purpose |
|-------------------|---------------|----------------|---------|
|-------------------|---------------|----------------|---------|

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

| Date           | To Whom | Purpose | Amount |
|----------------|---------|---------|--------|
| See attachment |         |         |        |

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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

| Date | Recipient | Foreign Principal | Thing of Value | Purpose |
|------|-----------|-------------------|----------------|---------|
|------|-----------|-------------------|----------------|---------|

**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

| Date           | Amount or Thing of Value | Political Organization or Candidate | Location of Event |
|----------------|--------------------------|-------------------------------------|-------------------|
| See attachment |                          |                                     |                   |

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>

Yes ☒ No ☐

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Centrale Bank van Curacao en Sint Maarten

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams  
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches  
☒ Other (specify) Self-produced informational materials

**Electronic Communications**

☒ Email

☐ Website URL(s): \_\_\_\_\_

☐ Social media websites URL(s): \_\_\_\_\_

☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☒ Public officials ☐ Newspapers ☐ Libraries  
☒ Legislators ☐ Editors ☐ Educational institutions  
☒ Government agencies ☐ Civic groups or associations ☐ Nationality groups  
☐ Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

☒ English ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒ No ☐

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)

October 28, 2016

/s/ J. Allen Martin

eSigned

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

## Centrale Bank van Curacao en Sint Maarten

### ATTACHMENT

**11. During this 6 month period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes.**

**If yes, identify each such foreign principal and describe in full detail your activities and services:**

The foreign principal is the **Centrale Bank van Curacao en Sint Maarten**. On behalf of this principal, The Livingston Group engaged in the following activities:

**MAY 11, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email with the following officials and/or staff, as well as private sector/ NGO representatives, concerning a forthcoming visit to Washington, DC by the President of the Centrale Bank van Curacao en Sint Maarten (CBCSM):

*Lael Brainard --- Member of the Board of Governors, Federal Reserve System -- Copied to: Regina Watson, Administrative assistant*

*Daniel Glaser --- Assistant Secretary of the Treasury for Terrorist Financing*

*Nathan Sheets --- Under Secretary of the Treasury for International Affairs*

*Adam Szubin --- Under Secretary of the Treasury for Terrorism and Financial Intelligence*

*Francisco Palmieri --- Principal Deputy Assistant Secretary of State for Western Hemisphere Affairs*

*Juan Sebastian Gonzalez --- Deputy Assistant Secretary of State for Western Hemisphere Affairs*

*Ziad Haider --- Special representative for Commercial and Business Affairs, Bureau of Economic and Business Affairs, Department of State*

*Mark Feierstein --- Special Assistant to the President and Senior Director for Western Hemisphere Affairs, National Security Council, Executive Office of the President -- Copied to: Jenny Jordain, Personal assistant*

*Andrew Taub --- Advisor to the Deputy National Security Advisor, National Security Council, Executive Office of the President*

*Gloria Grandolini --- Senior director, Finance and Markets Global Practice, World Bank Group*

*Mario Guadamillas --- Practice manager, Finance and Markets Global Practice, World Bank Group*

*Alexandre Meira da Rosa --- Vice President for Countries, Inter-American Development Bank*

*Therese Turner-Jones --- General Manager, Country Department Caribbean Group, Inter-American Development Bank -- Copied to: Terry Coley, Assistant, and Natalia Raffinengo, Assistant, Caribbean Group*

*Juan Pedro Schmid --- Country economist/Senior specialist, Country Department Caribbean Group, Inter-American Development Bank*

*Eric Farnsworth --- Vice President, Council of the Americas*

*Vijaya Ramachandran --- Senior fellow, Center for Global Development*

*Michael Shifter --- President, Inter-American Dialogue*

*Dan Mitchell --- Senior fellow, Cato Institute*

**MAY 12, 2016: Ms. Kingsbury** spoke via email with the following *White House* official concerning the visit to Washington, DC by the President of the CBCSM:

*Andrew Taub --- Advisor to the Deputy National Security Advisor, National Security Council, Executive Office of the President*

**MAY 17, 18, 24, 27, and 31, 2016 and June 6, 7, and 8, 2016: Ms. Kingsbury** spoke via email with the following the official from the *Department of the Treasury* concerning the visit to Washington, DC by the President of the CBCSM:

**MAY 19, 20, 25, and 31, 2016 and JUNE 3, 7, and 8, 2016:** Ms. Kingsbury spoke via email with the following *White House* officials concerning the visit to Washington, DC by the President of the CBCSM:

Andrew Taub --- Advisor to the Deputy National Security Advisor, National Security Council,  
Executive Office of the President

Adam Chernicoff --- Advance Associate, Executive Office of the President

**MAY 23, 2016 and JUNE 3, 2016:** Ms. Fitz-Pegado and Ms. Kingsbury spoke via telephone and email with the following private sector representative concerning the visit to Washington, DC by the President of the CBCSM:

Irene Estefania Gonzalez --- Program assistant, Office of the President, Inter-American Dialogue

**MAY 24, 2016 and JUNE 6 and 8, 2016:** Ms. Kingsbury spoke via email with the following official from the *Department of Justice* concerning the visit to Washington, DC by the President of the CBCSM:

Carina Miller --- Executive assistant, Office of the Assistant Attorney General, Criminal Division

**MAY 24, 25, and 26, 2016 and JUNE 6 and 9, 2016:** Ms. Fitz-Pegado and Ms. Kingsbury spoke via email with the following official from the *Department of State* concerning the visit to Washington, DC by the President of the CBCSM:

John Emery --- Commercial and Economic officer, Bureau of Economic and Business Affairs

**The following officials were copied:**

Tom Radke --- Desk officer, Southern Caribbean, Bureau of Western Hemisphere Affairs

Jay Jallorina --- Foreign Affairs officer, Office of Threat Finance Countermeasures, Bureau of  
Economic and Business Affairs

Maria Perez --- Special assistant, Office of Business and Commercial Affairs

**JUNE 3, 2016:** Ms. Fitz-Pegado and Ms. Kingsbury spoke via email with the following Congressional staffers in the office of Rep. Keith Ellison (MN) concerning the visit to Washington, DC by a delegation from the CBCSM:

Ali Hassan --- Staff assistant

Brieana Marticorena --- Legislative assistant

**JUNE 6-8, 2016:** Visit to Washington, DC by the President and a delegation of officials from the Centrale Bank van Curacao en Sint Maarten. The delegation included:

Dr. Emsley Tromp --- President, CBCSM

Jose Jardim --- Minister of Finance, Curacao

Richard Gibson --- Minister of Finance, Sint Maarten

Hyacintho Ludwig Willems --- Head of the Policy Department, CBCSM

Susan Wong --- Head of the Banking Field Supervision Department, CBCSM

David Van 't Hof --- Policy advisor, Ministry of Finance, Curacao

**JUNE 6, 2016:** Ms. Fitz-Pegado and Mr. Duncan accompanied the delegation to its meeting with the following officials from the *Inter-American Development Bank*:

Alexandre Meira da Rosa --- Vice President for Countries

Inderjit Ruprah --- Regional economic advisor, Caribbean Country Department

Roberto de Michele --- Private Sector Development principal specialist, Capital Markets and  
Financial Institutions Division

Allan Wright --- Economist in Residence

**JUNE 6, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following official from the *Center for Global Development*:  
*Vijaya Ramachandran --- Senior fellow*

**JUNE 6, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following officials from the *World Bank Group*:

*Emile van der Does de Willebois --- Global Lead, AML (anti-money laundering)/De-risking*

*John Pollner --- Lead financial officer and Practice manager, Latin America and the Caribbean Region (Acting)*

*Mario Guadamillas --- Manager, Financial Systems and Markets*

*Leonardo Lemes --- Country officer, Caribbean Country Management Unit, Latin America and the Caribbean Region*

*Jane Hwang --- Senior financial sector specialist, Latin America and the Caribbean Region*

**JUNE 6, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following official from the *Inter-American Dialogue*:

*Michael Shifter --- President*

**JUNE 7, 2016: Ms. Fitz-Pegado** accompanied the delegation to its meeting with the following foreign official:

*His Excellency Henne Schuwer --- Ambassador of the Kingdom of the Netherlands to the United States*

**JUNE 7, 2016: Ms. Fitz-Pegado and Ms. Kingsbury** accompanied the delegation to its meeting with the following officials from the *Department of State*:

*Juan Sebastian Gonzalez --- Deputy Assistant Secretary of State, Bureau of Western Hemisphere Affairs*

*Tom Radke --- Desk officer, Southern Caribbean, Bureau of Western Hemisphere Affairs*

*John Emery --- Commercial and Economic officer, Bureau of Economic and Business Affairs*

*Jay Jallorina --- Foreign Affairs officer, Office of Threat Finance Countermeasures, Bureau of Economic and Business Affairs*

**JUNE 7, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following officials from the *Department of Justice*:

*Bruce Swartz --- Deputy Assistant Attorney General and Counselor for International Affairs, Criminal Division*

*M. Kendall Day --- Chief, Asset Forfeiture and Money Laundering Section*

*Andrew Weissman --- Section chief, Fraud Section*

*Magdalena Boynton --- Regional director for Mexico, Central America, Spanish-speaking Caribbean, Office of International Affairs*

*Judith Friedman --- Senior trial attorney, Office of International Affairs*

*Kenneth Blanco --- Deputy Assistant Attorney General*

*Sung-Hee Suh --- Deputy Assistant Attorney General*

**JUNE 7, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following officials from the *Department of the Treasury*:

*Daniel Glaser --- Assistant Secretary of the Treasury for Terrorist Financing*

*Sarah Runge --- Director, Office of Strategic Policy, Office of Terrorist Financing and Financial Crimes*

*Michael Lieberman --- Assistant director, Office of Terrorist Financing and Financial Crimes*

*Bess Michael --- Senior policy advisor to the Director for International Relations, Financial Crimes Enforcement Network (FinCEN)*

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Alan Cox --- Senior advisor to the Director, FinCEN  
Alexandre Manfull --- Assistant director for Compliance, Office of Foreign Assets Control

**JUNE 7, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following official from the *Cato Institute*:  
*Dan Mitchell* --- Senior fellow

**JUNE 8, 2016: Ms. Fitz-Pegado and Mr. Duncan** accompanied the delegation to its meeting with the following officials at the *White House*:  
*Him Das* --- Senior director for International Economics, National Security Council and National Economic Council, Executive Office of the President  
*Raisa Sheynberg* --- Director for International Economic Affairs, National Security Council

**JUNE 8, 2016: Ms. Kingsbury** spoke via email with the following private sector representative in follow up to the meeting with the delegation from the CBCSM:  
*Vijaya Ramachandran* --- Senior fellow, Center for Global Development

**JUNE 8, 2016: Mr. Duncan** spoke via email with the following private sector representative concerning anti-money laundering and terrorist-financing regulatory policy:  
*Dan Mitchell* --- Senior fellow, Cato Institute

**JUNE 9, 2016: Mr. Duncan** spoke via email with the following private sector representative concerning anti-money laundering and terrorist-financing regulatory policy:  
*Clay Lowery* --- Visiting fellow, Center for Global Development  
The following private sector representative was copied:  
*Dan Mitchell* --- Senior fellow, Cato Institute

**JUNE 13, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email to the following official in follow up to the meeting with the delegation from the CBSCM:  
*Juan Sebastian Gonzalez*, Deputy Assistant Secretary of State, Bureau of Western Hemisphere Affairs

**JUNE 13, 2016: Ms. Kingsbury** spoke via telephone and email with the following foreign official concerning a meeting with the Ambassador of the Netherlands:  
*Canan Caliskan* --- Royal Netherlands Embassy, Washington, DC

**JUNE 17, 2016: Ms. Fitz-Pegado** met the following foreign official to discuss de-risking:  
*His Excellency Henne Schuwer* --- Ambassador of the Kingdom of the Netherlands to the United States

**JUNE 17, 2016: Ms. Fitz-Pegado**, at the request of the principal, forwarded by email letters from Dr. Emsley Tromp to the following persons in the public and private sectors; the letters thanked them for meeting with the delegation from Curacao and Sint Maarten:

*Him Das* --- Senior director for International Economics, National Security Council and National Economic Council, Executive Office of the President; cc: *Raisa Sheynberg*, Director for International Economic Affairs, National Security Council

*Daniel L. Glaser* --- Assistant Secretary of the Treasury for Terrorist Financing; cc: *Sarah Runge*, Director, Office of Strategic Policy, Office of Terrorist Financing and Financial Crimes; *Michael Lieberman*, Assistant director, Office of Terrorist Financing and Financial Crimes; *Bess Michael*, Senior policy advisor to the Director for International Relations, Financial Crimes Enforcement Network (FinCEN); *Alan Cox*, Senior advisor to the Director, FinCEN; and *Alexandre Manfull*, Assistant director for Compliance, Office of Foreign Assets Control

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**Juan Sebastian Gonzalez** --- Deputy Assistant Secretary of State, Bureau of Western Hemisphere Affairs; **cc: Tom Radke**, Desk officer, Southern Caribbean, Bureau of Western Hemisphere Affairs; **John B. Emery**, Commercial and Economic officer, Bureau of Economic and Business Affairs; **Jay Jallorina**, Foreign Affairs officer, Office of Threat Finance Countermeasures, Bureau of Economic and Business Affairs

**Alexandre Meira da Rosa** --- Vice President for Countries, Inter-American Development Bank; **cc: Inder J. Ruprah**, Regional economic advisor, Caribbean Country Department; **Roberto de Michele**, Modernization of the State Principal Specialist, Institutional Capacity of the State Division; and **Allan S. Wright**, Economist in Residence

**His Excellency Henne Schuwer** --- Ambassador of the Kingdom of the Netherlands to the United States

**Bruce Swartz** --- Deputy Assistant Attorney General and Counselor for International Affairs, Criminal Division, U.S. Department of Justice; **cc: M. Kendall Day**, Chief, Asset Forfeiture and Money Laundering Section; **Andrew Weissmann**, Section chief, Fraud Section; **Magdalena Boynton**, Regional director for Mexico, Central America, Spanish-speaking Caribbean, Office of International Affairs; **Judith H. Friedman**, Senior trial attorney, Office of International Affairs; **Kenneth Blanco**, Deputy Assistant Attorney General; and **Sung-Hee Suh**, Deputy Assistant Attorney General

**Emile van der Does de Willebois** --- Global Lead, AML/De-risking, World Bank Group; **cc: John D. Pollner**, Lead Financial Officer and Practice Manager, Latin America and the Caribbean Region (Acting); **Mario Guadamillas**, Manager, Financial Systems and Markets; **Leonardo A. Lemes**, Country officer, Caribbean Country Management Unit, Latin America and the Caribbean Region; **Jane C. Hwang**, Senior Financial Sector Specialist, Latin America and the Caribbean Region

**Dan Mitchell** --- Senior fellow, Cato Institute

**Vijaya Ramachandran** --- Senior fellow, Center for Global Development

**Michael Shifter** --- President, Inter-American Dialogue

**JUNE 27 and 28, 2016 and July 15 and 25, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email to the following official from the *Department of State* concerning the CARICOM 37<sup>th</sup> Heads of Government Conference in Guyana, scheduled for July 4-6, 2016: **John Emery** --- Commercial and Economic officer, Bureau of Economic and Business Affairs

**JUNE 30, 2016 and JULY 11 and 26, 2016: Ms. Fitz-Pegado, Ms. Kingsbury and Mr. Duncan** spoke via email with the following Congressional staffers in the office of *Rep. Eliot Engel (NY)* concerning a forthcoming visit to Washington, DC by a delegation from the Centrale Bank van Curacao en Sint Maarten (CBCSM):

**Jason Steinbaum** --- Staff director (minority), Committee on Foreign Affairs

**Brian Skretny** --- Legislative director

**Darlene Murray** --- Office manager/Scheduler

**JUNE 30, 2016 and JULY 6, 11, and 26, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email with the following Congressional staffers in the office of *Rep. Barbara Lee (CA)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM: **Julie Nickson** --- Chief of staff

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*Christopher Livingston --- Executive assistant*  
*Liz Lee --- Legislative assistant*

**JUNE 30, 2016 and JULY 11 and 26, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email with the following Congressional staffers in the *office of Rep. Gregory Meeks (NY)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Sophia Lafargue --- Chief of staff*  
*Kim Fuller --- Office manager/Scheduler*  
*Aymeric Saha --- Policy director for financial services*

**JUNE 30, 2016 and JULY 11, 2016: Ms. Fitz-Pegado** spoke via email with the following Congressional staffers in the *office of Rep. Maxine Waters (CA)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Twaun Samuel --- Chief of staff*  
*Dusty Brandenburg --- Scheduler*  
*Kathleen Sengstock --- Senior legislative assistant*

**JUNE 30, 2016 and JULY 1, 11, and 26, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email with the following Congressional staffers in the *office of Rep. Keith Ellison (MN)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Kari Moe --- Chief of staff*  
*Carol Wayman --- Legislative director*  
*Brieana Marticorena --- Legislative assistant*  
*Elyse Johnson --- Scheduler*

**JULY 1, 2016: Mr. Livingston** spoke via email with the following Congressional staffer in the *office of Rep. Mike Fitzpatrick (PA)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Joe Hogan --- Scheduler/ Legislative aide*

**JULY 1, 2016: Mr. Livingston** spoke via email with the following Congressional staffer in the *office of Rep. Jeb Hensarling (TX)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Kyle Jackson --- Deputy chief of staff/Legislative director*  
*Jennifer Stalzer --- Scheduler*

**JULY 1, 2016: Mr. Livingston** spoke via email with the following Congressional staffer in the *office of Rep. Mia Love (UT)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Stefanie Dearie --- Legislative director*  
*Ann Vogel --- Scheduler*

**JULY 1, 2016: Mr. Livingston** spoke via email with the following Congressional staffer in the *office of Rep. Ed Royce (CA)* concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Peter Freeman --- Legislative director*  
*Kate Barlow --- Scheduler*

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**JULY 1, 2016: Mr. Livingston** spoke via email with the following Congressional staffer in the office of Rep. Christopher Smith (NJ) concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Steven Valentine --- Legislative assistant*

*Daniel Tillson --- Scheduler*

**JULY 1 and 6, 2016: Mr. Livingston** spoke via email with the following Congressional staffers in the office of Rep. Virginia Foxx (NC) concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Cyrus Artz --- Legislative director*

*Courtney Butcher --- Scheduler*

**JULY 1, 6, and 7, 2016: Mr. Livingston** spoke via email with the following Congressional staffers in the office of Rep. Roger Williams (TX) concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Spencer Freebairn --- Deputy chief of staff*

*Hanna Allred --- Scheduler*

**JULY 5, 2016: Ms. Fitz-Pegado** spoke via email with the following private sector representative concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Daniel Restrepo --- Senior fellow, Center for American Progress*

**JULY 5 and 11, 2016: Ms. Kingsbury** spoke via email with the following official concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Daniel Erikson --- Special advisor, Office of the Vice President*

**JULY 5 and 25, 2016: Ms. Fitz-Pegado** spoke via email with the following official concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Daniel Erikson --- Special advisor, Office of the Vice President*

**JULY 6 and 11, 2016: Ms. Fitz-Pegado** spoke via email with the following Congressional staffer in the office of Rep. Barbara Lee (CA) concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Christopher Livingston --- Executive assistant*

*Liz Lee --- Legislative assistant*

**JULY 6 and 11, 2016: Ms. Kingsbury** spoke via telephone and email with the following private sector representative concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Ana Quintana --- Policy analyst (Latin America and Western Hemisphere), Davis Institute for National Security and Foreign Policy, Heritage Foundation*

*William Wilson --- Senior research fellow, Davis Institute for National Security and Foreign Policy, Heritage Foundation*

**JULY 11, 26, and 27, 2016: Ms. Fitz-Pegado** spoke via email with the following Congressional staffers in the office of Rep. Keith Ellison (MN) concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Kari Moe --- Chief of staff*

*Carol Wayman --- Legislative director*



*Brieana Marticorena --- Legislative assistant*

*Elyse Johnson --- Scheduler*

**JULY 12 and 26, 2016: Mr. Livingston** spoke via email with the following Congressional staffer concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:  
*Spencer Freebairn --- Deputy chief of staff, Office of Rep. Roger Williams (TX)*

**JULY 21 and 22, 2016: Ms. Fitz-Pegado** spoke via telephone and voice-mail (and via email on 7/25/16) with the following official concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Gabrielle Soltys --- Policy advisor, Office of Terrorist Financing and Financial Crimes, Department of the Treasury*

**JULY 22 and 29, 2016 and AUGUST 3, 2016: Ms. Fitz-Pegado and Ms. Kingsbury** spoke via email with the following official concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Gabrielle Soltys --- Policy advisor, Office of Terrorist Financing and Financial Crimes, Department of the Treasury*

**JULY 25, 2016: Ms. Fitz-Pegado** spoke via email with the following private sector representatives concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Zachary Goldman, Executive director, Center on Law and Security, New York University School of Law*

*Marc Jarsulic --- Vice president for economic policy, Center for American Progress*

*Elizabeth Rosenberg --- Senior fellow and director of the Energy, Economics and Security Program, Center for New American Security*

**JULY 25, 2016: Ms. Kingsbury** spoke via email with the following private sector representatives concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Ana Quintana --- Policy analyst (Latin America and Western Hemisphere), Davis Institute for National Security and Foreign Policy, Heritage Foundation*

*William Wilson --- Senior research fellow, Davis Institute for National Security and Foreign Policy, Heritage Foundation*

**JULY 26, 2016 and AUGUST 9 and 10, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email to the following official(s) from the *Department of State* concerning the CARICOM 37<sup>th</sup> Heads of Government Conference in Guyana, held on July 4-6, 2016, and to follow up on the visit to Washington, DC in June of the delegation from the CBCSM:

*Loc Le --- Office of Commercial and Business Affairs*

**The emails of August 9 and 10, 2016 were copied to:**

*Juan Sebastian Gonzalez --- Deputy Assistant Secretary of State, Bureau of Western Hemisphere Affairs*

*John Emery --- Commercial and Economic officer, Bureau of Economic and Business Affairs*

**JULY 26, 2016: Mr. Livingston** spoke via email with the following Congressional staffer concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Cyrus Artz --- Legislative director, Office of Rep. Virginia Foxx (NC)*

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**JULY 26 and 29, 2016 and AUGUST 1, 2016: Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan** spoke via email with the following Congressional staffers concerning the forthcoming visit to Washington, DC by a delegation from the CBCSM:

*Daniel McGlinchey --- Senior professional staff (minority), House Committee on Financial Services*

*Kirk Schwarzbach --- Senior professional staff (minority), House Committee on Financial Services*

**AUGUST 10-12, 2016: Visit to Washington, DC by a delegation of officials from the Centrale Bank van Curacao en Sint Maarten and the Financial Intelligence Unit, Curacao.** The delegation included:

*Hyacintho Ludwig Willems --- Head of the Policy Department, CBCSM*

*Susan Wong --- Head of the Banking Field Supervision Department, CBCSM*

*Kelvin Kleist --- Head of Financial Stability, CBCSM*

*Anne Marie Kemna --- Director of the Financial Intelligence Unit, Curacao*

**AUGUST 10, 2016: Ms. Fitz-Pegado** spoke via email with the following official concerning the visit to Washington, DC by the delegation from the CBCSM:

*Gabrielle Soltys --- Policy advisor, Office of Terrorist Financing and Financial Crimes, Department of the Treasury*

**AUGUST 10, 2016: Ms. Kingsbury** spoke via telephone and email with the following official concerning the visit to Washington, DC by the delegation from the CBCSM:

*Keisha Tate --- Senior staff assistant, Banking Supervision and Regulation Division, Board of Governors of the Federal Reserve System*

**AUGUST 10, 2016: Ms. Kingsbury** spoke via email with the following official concerning the visit to Washington, DC by the delegation from the CBCSM:

*Debra Novak --- Chief, Anti-Money Laundering Section, Federal Deposit Insurance Corporation*

**AUGUST 10, 2016: Ms. Kingsbury** spoke via email with the following private sector representative concerning the visit to Washington, DC by the delegation from the CBCSM:

*Robert Peri --- Senior vice president, Global Sanctions Compliance and Regulatory Change Management, Citigroup*

**AUGUST 10, 2016: Ms. Fitz-Pegado and Ms. Kingsbury** accompanied the delegation to its meeting at the Department of the Treasury with the following official from Wells Fargo:

*Lester Joseph --- Manager, Global Financial Crimes Intelligence and Financial Crimes Risk Management*

**AUGUST 10, 2016: Ms. Fitz-Pegado and Ms. Kingsbury** accompanied the delegation to its meeting with the following officials from the Office of Terrorist Financing and Financial Crimes, Department of the Treasury:

*Sarah Runge --- Director, Office of Strategic Policy*

*Thomas Iverson --- Senior policy advisor*

*Warren Ryan --- Policy advisor*

*Gabrielle Soltys --- Policy advisor*

**AUGUST 10, 2016: Ms. Fitz-Pegado and Ms. Kingsbury** accompanied the delegation to its meeting with the following officials from the *Office of Terrorist Financing and Financial Crimes, Department of the Treasury*:

*Daniel Glaser --- Assistant Secretary of the Treasury, Office of Terrorist Financing and Financial Crimes*

*Thomas Iverson --- Senior policy advisor*

*Warren Ryan --- Policy advisor*

*Gabrielle Soltys --- Policy advisor*

**AUGUST 11, 2016: Ms. Fitz-Pegado** accompanied the delegation to its meeting with the following official from the *Federal Deposit Insurance Corporation*:

*Debra Novak --- Chief, Anti-Money Laundering Section*

**AUGUST 11, 2016: Ms. Fitz-Pegado** accompanied the delegation to its meeting with the following officials from the *Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System*:

*Suzanne Williams --- Assistant director, BSA/AML and Corporate Governance*

*Koko Ives --- Manager, BSA/AML Compliance Section*

*Celeste Molleur --- Manager, Large and Foreign Banking Organizations*

*Mary Beth Evans --- Supervisory financial analyst*

**AUGUST 11, 2016: Ms. Fitz-Pegado** escorted the delegation to, but did not attend, its meeting with the following officials from the *Financial Crimes Enforcement Network, Department of the Treasury*:

*Ahmed Elbashari --- Acting director, Office of Global Outreach*

*Eric Vondra --- Director, Western Hemisphere Office, Intelligence Division*

*Alan Cox --- Senior advisor, Office of the Director*

*Bess Johnson Michael --- Senior policy advisor to the Director of International Relations*

**AUGUST 11, 2016: Ms. Fitz-Pegado** accompanied the delegation to its meeting with the following official from *Citigroup*:

*Robert Peri --- Senior vice president, Global Sanctions Compliance and Regulatory Change Management*

**AUGUST 11, 2016: Ms. Kingsbury** spoke via email with the following Congressional staffers concerning the visit to Washington, DC by the delegation from the CBCSM:

*Cyrus Artz --- Legislative director, Office of Rep. Virginia Foxx (NC)*

*Stefanie Dearie --- Legislative director, Office of Rep. Mia Love (UT)*

*Andrew Duke --- Chief of staff, Office of Rep. Jeb Hensarling (TX)*

*Daniel McGlinchey --- Senior professional staff (minority), House Committee on Financial Services*

*Aymeric Saha --- Policy director for financial services, Office of Rep. Gregory Meeks (NY)*

*Daniel Tillson --- Scheduler, Office of Rep. Christopher Smith (NJ)*

*Piero Tozzi --- Counsel (majority), Subcommittee on Africa, Global Health, Global Human Rights, and International Organizations, House Committee on Foreign Affairs*

**AUGUST 12, 2016: Ms. Kingsbury** spoke via email with the following Congressional staffers concerning the visit to Washington, DC by the delegation from the CBCSM:

*Cyrus Artz --- Legislative director, Office of Rep. Virginia Foxx (NC)*

**AUGUST 12, 2016:** Ms. Fitz-Pegado and Mr. Duncan accompanied the delegation to its meeting with the following Congressional staffer:

*Aymeric Saha --- Policy director for financial services, Office of Rep. Gregory Meeks (NY)*

**AUGUST 12, 2016:** Ms. Kingsbury and Mr. Duncan accompanied the delegation to its meeting with the following Congressional staffer:

*Cyrus Artz --- Legislative director, Office of Rep. Virginia Foxx (NC)*

**AUGUST 12, 2016:** Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan accompanied the delegation to its meeting with the following Congressional staffers:

*Piero Tozzi --- Counsel (majority), Subcommittee on Africa, Global Health, Global Human Rights, and International Organizations, House Committee on Foreign Affairs*

*Mark Walker --- Staff director (majority), Subcommittee on the Western Hemisphere, House Committee on Foreign Affairs*

**SEPTEMBER 9, 14, 20, 21, 23, and 30, 2016:** Ms. Fitz-Pegado, Ms. Kingsbury, and Mr. Duncan spoke via email with the following private sector representative concerning meeting in-person to discuss de-risking:

*Clay Lowery --- Visiting fellow, Center for Global Development*

**SEPTEMBER 12 and 13, 2016:** Ms. Fitz-Pegado spoke via email (and via telephone on 9/13/16) concerning H.R. 4939, "United States-Caribbean Strategic Engagement Act of 2016," with the following Congressional staffer:

*Eric Jacobstein --- Senior policy advisor (minority), House Committee on Foreign Affairs*

**SEPTEMBER 21, 2016:** Mr. Martin, Ms. Fitz-Pegado, and Ms. Kingsbury spoke via email with the following Congressional staffer concerning de-risking and the Caribbean:

*Eric Jacobstein --- Senior policy advisor (minority), House Committee on Foreign Affairs*

**SEPTEMBER 22, 2016:** Mr. Martin, Ms. Fitz-Pegado, and Ms. Kingsbury spoke via email with the following Congressional staffers concerning de-risking and the Caribbean:

*Piero Tozzi --- Counsel (majority), Subcommittee on Africa, Global Health, Global Human Rights, and International Organizations, House Committee on Foreign Affairs*

*Mark Walker --- Staff director (majority), Subcommittee on the Western Hemisphere, House Committee on Foreign Affairs*

**ATTACHMENT**

**14(a). RECEIPTS-MONIES.** During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

| DATE         | FROM WHOM              | PURPOSE             | AMOUNT              |
|--------------|------------------------|---------------------|---------------------|
| 4/14/16      | Centrale Bank (client) | Fee                 | \$45,000.00         |
| 6/9/16       | (client)               | Fee                 | 60,000.00           |
| 7/18/16      | (client)               | Fee                 | 30,000.00           |
| 8/3/16       | (client)               | Fee                 | 30,000.00           |
| 9/6/16       | (client)               | Fee                 | 30,000.00           |
| 7/18/16      | Centrale Bank (client) | Reimbursed expenses | \$9,125.15          |
| 8/3/16       | (client)               | Reimbursed expenses | 13.00               |
| 9/6/16       | (client)               | Reimbursed expenses | 505.53              |
| <b>TOTAL</b> |                        |                     | <b>\$204,643.68</b> |

**ATTACHMENT**

**15(a). DISBURSEMENTS-MONIES.** During this 6 month reporting period, have you (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? **Yes.**

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

| <b>DATE</b>  | <b>TO WHOM</b>           | <b>PURPOSE</b>        | <b>AMOUNT</b>      |
|--------------|--------------------------|-----------------------|--------------------|
| 4/16-9/16    |                          | Color printing        | \$1,474.50         |
| 4/16-9/16    |                          | Photocopy             | 3.40               |
| 4/16-9/16    |                          | Transportation/Travel | 7,113.77           |
| 4/16-9/16    |                          | Meals/Entertainment   | 1,052.01           |
| 4/16-9/16    |                          | Filing fees           | 610.00             |
| 4/16-9/16    |                          | Office supplies       | 31.79              |
| 4/21/16      | John Duncan & Associates | Fee                   | \$7,500.00         |
| 6/10/16      | (ditto)                  | Fee                   | 10,000.00          |
| 7/26/16      | (ditto)                  | Fee                   | 5,000.00           |
| 8/9/16       | (ditto)                  | Fee                   | 5,000.00           |
| 9/9/16       | (ditto)                  | Fee                   | 5,000.00           |
| <b>TOTAL</b> |                          |                       | <b>\$42,785.47</b> |

Note that all expenditures under "Transportation/Travel" and "Meals/Entertainment" were internal to The Livingston Group, and no U.S. government officials or media representatives benefited therefrom.

The address of the recipient of fees paid was:

**John Duncan & Associates**  
1207 Independence Avenue, SE  
Washington, DC 20003-1445

10/28/16

**ATTACHMENT**

**15(c) During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or held to select candidates for political office? Yes.**

**If yes, furnish the following information:**

| <b>Date</b>                        | <b>Amount or<br/>Thing of Value</b> | <b>Political Organization<br/>or Candidate</b>                | <b>Location of<br/>Event</b> |
|------------------------------------|-------------------------------------|---|------------------------------|
| <b><u>Robert L. Livingston</u></b> |                                     |   |                              |
| 3/25/16                            | \$500                               | Liz Cheney for Congress – Liz Cheney (WY)                     | Washington, DC               |
| 4/13/16                            | \$500                               | Nat'l. Republican Congressional Committee                     | (ditto)                      |
|                                    |                                     | U.S. Rep. Paul Ryan (WI)                                      |                              |
|                                    |                                     | U.S. Rep. Jeff Fortenberry (NE)                               |                              |
| 4/16/16                            | \$500                               | Susan B. Anthony Gala – U.S. Sen. Jodi Ernst (IA)             | (ditto)                      |
| 4/29/16                            | \$500                               | David Jolly for Congress – U.S. Rep. David Jolly (FL)         | (ditto)                      |
| 5/11/16                            | \$500                               | Kay Granger Campaign Fund – U.S. Rep. Kay Granger (TX)        | (ditto)                      |
| 5/12/16                            | \$500                               | Charles Boustany for Senate – U.S. Rep. Charles Boustany (LA) | (ditto)                      |
| 5/19/16                            | \$500                               | David Young for Congress – U.S. Rep. David Young (IA)         | (ditto)                      |
| 5/23/16                            | \$200                               | George Phillips for Congress – George Phillips (NY)           | (ditto)                      |
| 6/12/16                            | \$100                               | Nat'l. Republican Senatorial Committee                        | -                            |
| 6/13/16                            | \$5,400                             | Donald J. Trump for President – Donald J. Trump               | -                            |
| 6/16/16                            | \$500                               | Rodney Davis for Congress – U.S. Rep. Rodney Davis (IL)       | Washington, DC               |
| 7/15/16                            | \$500                               | Friends of Roy Blunt – U.S. Sen. Roy Blunt (MO)               | (ditto)                      |
| 7/15/16                            | \$300                               | Hurd for Congress – U.S. Rep. Will Hurd (TX)                  | (ditto)                      |
| 9/20/16                            | \$500                               | America's First PAC – U.S. Rep. Rob Wittman (VA)              | (ditto)                      |
| 9/21/16                            | \$250                               | Trent Kelly for Congress – U.S. Rep. Trent Kelly (MS)         | (ditto)                      |
| 9/21/16                            | \$500                               | Tim Scott for Senate – U.S. Sen. Tim Scott (SC)               | (ditto)                      |
| 9/27/16                            | \$500                               | Friends of Todd Young – U.S. Rep. Todd Young (IN)             | (ditto)                      |

**J. Allen Martin**

|         |       |   |                |
|---------|-------|---|----------------|
| 4/12/16 | \$250 | Shelby for Senate – U.S. Sen. Richard Shelby (AL)       | Washington, DC |
| 4/27/16 | \$250 | Tom Rice for Congress – U.S. Rep. Tom Rice (SC)         | (ditto)        |
| 4/29/16 | \$500 | Friends of David Jolly – U.S. Rep. David Jolly (FL)     | (ditto)        |
| 5/11/16 | \$500 | Granger for Congress – U.S. Rep. Kay Granger (TX)       | (ditto)        |
| 5/12/16 | \$100 | Boustany for Senate – U.S. Rep. Charles Boustany (LA)   | (ditto)        |
| 5/15/16 | \$250 | Phillips for Congress – George Phillips (NY)            | (ditto)        |
| 5/19/16 | \$750 | Young for Iowa – U.S. Rep. David Young (IA)             | (ditto)        |
| 5/24/16 | \$250 | Jeff Duncan for Congress – U.S. Rep. Jeff Duncan (SC)   | (ditto)        |
| 6/15/16 | \$500 | Rodney Davis for Congress – U.S. Rep. Rodney Davis (IL) | (ditto)        |
| 6/22/16 | \$500 | Team Graham – U.S. Sen. Lindsey Graham (SC)             | (ditto)        |
| 6/26/16 | \$500 | Donald J. Trump for President – Donald J. Trump         | -              |

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|         |                    |   |                |
|---------|--------------------|---|----------------|
| 6/28/16 | \$250              | RFW PAC – U.S. Sen. Roger Wicker (MS)             | Washington, DC |
| 6/29/16 | \$500              | Donald J. Trump for President – Donald J. Trump   | -              |
| 7/13/16 | \$500              | Hurd for Congress – U.S. Rep. Will Hurd (TX)      | Washington, DC |
| 7/14/16 | \$500              | Friends of Roy Blunt – U.S. Sen. Roy Blunt (MO)   | (ditto)        |
| 7/14/16 | \$464.38 (in-kind) | Friends of Roy Blunt – U.S. Sen. Roy Blunt (MO)   | (ditto)        |
| 9/21/16 | \$1,000            | Tim Scott for Senate – U.S. Sen. Tim Scott (SC)   | (ditto)        |
| 9/21/16 | \$408.80 (in-kind) | Tim Scott for Senate – U.S. Sen. Tim Scott (SC)   | (ditto)        |
| 9/27/16 | \$500              | Todd Young for Senate – U.S. Rep. Todd Young (IN) | (ditto)        |
| 9/29/16 | \$500              | Paul Babeu for Congress – Paul Babeu (AZ)         | (ditto)        |

**Lauri Fitz-Pegado**

|         |         |  |                |
|---------|---------|--|----------------|
| 3/21/16 | \$500   | Hillary for America – Hillary Rodham Clinton               | Washington, DC |
| 3/23/16 | \$250   | Will Jawando for Congress – William Jawando (MD)           | -              |
| 3/30/16 | \$150   | ACTBLUE Maggie Hassan for Senate – Gov. Maggie Hassan (NH) | -              |
| 4/5/16  | \$100   | Suzan Cook Johnson for Congress – Suzan Cook Johnson (NY)  | -              |
| 5/18/16 | \$250   | Democratic National Committee                              | -              |
| 5/20/16 | \$250   | Hillary for America – Hillary Rodham Clinton               | -              |
| 9/1/16  | \$1,000 | Hillary Victory Fund – Hillary Rodham Clinton              | Washington, DC |
| 9/19/16 | \$250   | Hillary Victory Fund – Hillary Rodham Clinton              | (ditto)        |
| 9/21/16 | \$250   | Jim Clyburn for Congress – U.S. Rep. James Clyburn (SC)    | -              |

**John Duncan**

|         |         |   |                |
|---------|---------|---|----------------|
| 4/11/16 | \$500   | Shelby for Senate – U.S. Sen. Richard Shelby (AL) | Washington, DC |
| 5/23/16 | \$1,000 | Brady for Congress – U.S. Rep. Kevin Brady (TX)   | (ditto)        |